# Probability, Arrow of Time and Decoherence\*

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#### Abstract

This paper relates both to the metaphysics of probability and to the physics of time asymmetry. Using the formalism of decoherent histories, it investigates whether intuitions about intrinsic time directedness that are often associated with probability can be justified in the context of no-collapse approaches to quantum mechanics. The standard (two-vector) approach to time symmetry in the decoherent histories literature is criticised, and an alternative approach is proposed, based on two decoherence conditions ('forwards' and 'backwards') within the one-vector formalism. In turn, considerations of forwards and backwards decoherence and of decoherence and recoherence suggest that a time-directed interpretation of probabilities, if adopted, should be both contingent and perspectival.

## 1 Introduction

Probabilities are often treated in a time-asymmetric way, for example when used in deliberations about future courses of action, or when chances are said to evolve by conditionalisation upon events being actualised, so that chances of past events are always equal to 0 or 1. In metaphysical terms, this is often associated with the notion of an 'open future' and a 'fixed past'. Is this asymmetry intrinsic to the notion of probability, or is it imposed at the level of interpretation? This is the main question we wish to examine in this paper, although only in a special case.

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The general strategy we suggest to adopt in order to address this question is to investigate whether some kind of time asymmetry is already present at the level of the formalism. A formal investigation of course can yield no normative conclusion about the interpretation of the quantum probabilities. However, we take it that it can provide useful guidelines for choosing or constructing a good interpretation. For instance, one could consider probabilities as used in the description of classical stochastic processes. We claim (but will not discuss this point here) that in this example there is no formal equivalent of an open future, since a classical stochastic process is just a probability measure over a space of trajectories, so the formal definition is completely time-symmetric. Transition probabilities towards the future can be obtained by conditionalising on the past; equally, transition probabilities towards the past can be obtained by conditionalising on the future. Individual trajectories may exhibit time asymmetry, and there may be a quantitative asymmetry between forwards and backwards transition probabilities, but at least as long as the latter are not all 0 or 1, this falls well short of justifying a notion of fixed past.

In this paper, we shall apply this general strategy in a different context, namely that of no-collapse approaches to quantum mechanics. For vividness's sake, one can imagine that we are discussing an Everett-like interpretation, for instance one in which 'worlds' are identified with the 'histories' of the decoherent histories approach (briefly sketched below), and in which the probabilities emerge from the deterministic Schrödinger equation as objective chances identified through a decision-theoretic analysis (see for instance Saunders 1993 and Wallace 2005 for these two aspects, respectively). However, and I wish to emphasise this point from the outset, our analysis will be carried out purely at the formal level, so that our results may be applied to the quantum probabilities irrespective of the chosen (no-collapse) approach or of the attendant interpretation of probability. In particular, I believe that the analysis below will apply also to pilot-wave theories, such as (deterministic) de Broglie-Bohm theory, where probabilities emerge in a way roughly analogous to that in classical statistical mechanics (see e.g. Dürr, Goldstein and Zanghì 1992), or such as (stochastic) beable theories, where the notion of probability is presupposed and its interpretation, presumably, is open (Bell 1984).

The way we shall proceed is as follows. Probabilities enter quantum mechanics at the level of the (real or apparent) collapse of the quantum state, the description given by the Schrödinger evolution being entirely deterministic.

Time asymmetry also enters quantum mechanics at the level of collapse, the Schrödinger equation being time-symmetric in a well-defined sense. The quantum probabilities thus appear to be very good candidates for probabilities that are intrinsically time-directed. We shall sketch the relevant aspects of these questions in section 2.

Then we shall focus on no-collapse approaches to quantum mechanics. In order to keep the discussion general, and not get tied up with questions of interpretation, we shall discuss probabilities and their putative time-directedness within the framework provided by decoherence, specifically by the formalism of decoherent histories. I have suggested elsewhere (Bacciagaluppi 2003) that the phenomenon of decoherence is the crucial ingredient that allows all major no-collapse interpretations to recover the appearance of collapse. The argument below does not depend on this, although of course its range of application does: the argument will apply precisely to those approaches to quantum mechanics (and their associated notion of probability) that make such use of decoherence. The idea of decoherence is often linked to the picture of a branching structure for the universal wave function, which in turn (at least from an Everettian perspective) is close to the intuition of 'open future', but our discussion has no need to link the histories formalism and its probabilities to either Everett interpretations or any other interpretations of quantum mechanics.

The notion of decoherence will be briefly sketched in section 3, together with the specific formalism of decoherent histories. Our use of decoherent histories does not carry any particular interpretational commitments, despite the amount of controversy that has surrounded this notion. We have chosen this formalism simply because it provides a very convenient and explicit way of discussing probabilities in the framework of decoherence. In particular, decoherent histories allow us to embed collapse-style probabilities, with their time-asymmetric aspects, within the no-collapse framework of the Schrödinger equation.

In section 4, we shall criticise a common misconception regarding the arrow of time in the decoherent histories formalism. Instead of introducing a two-vector formalism, as is common in the literature, we shall retain the usual one-vector formalism of the Schrödinger equation and introduce separate 'forwards' and 'backwards' decoherence conditions. Our proposal was sketched already in Bacciagaluppi (2002) (which focuses on the related 'branching space-time' structure of the wave function and uses it to discuss

the concept of locality in many-worlds interpretations).

We shall use our conclusions to discuss the status of probabilities in nocollapse quantum mechanics. This will take up our final section 5. On the basis of our discussion of forwards and backwards decoherence from section 4, we shall suggest that an interpretation of the no-collapse quantum probabilities should allow for time directedness to be a merely contingent feature of the probabilities. On the other hand, we shall argue that decoherence can only be observed as decoherence and never as recoherence from the perspective of an internal observer, so that there is scope for time directedness in the interpretation of probabilities, but in a perspectival sense.

# 2 Quantum mechanics and time (a)symmetry

#### 2.1 Schrödinger equation and arrow of collapse

The general form of the problem of the arrow of time in physics is that the fundamental equations are assumed to be time-symmetric, but that some class of phenomena appear to be time-directed (or at least disproportionately favouring one time direction). An example specific to quantum mechanics is the tension between the time symmetry of the Schrödinger equation and the time asymmetry of what phenomenologically appears as 'collapse'.

Time reversal for wave functions is implemented as

$$\psi(x,t) \mapsto \psi^*(x,-t) , \qquad (1)$$

and thus, because  $H^* = H$ , the Schrödinger equation

$$i\hbar \frac{\partial}{\partial t}\psi(x,t) = H\psi(x,t)$$
 (2)

is time-symmetric.

It is quite obvious that (2) allows for solutions that are not individually time-symmetric, and thus allows for time-asymmetric behaviour. Indeed, a time-symmetric solution  $\psi(x,t)$  will be symmetric iff

$$\psi(x,t) = \psi^*(x,-t) . \tag{3}$$

This is a non-trivial condition, equivalent to having

$$\psi(x,0) = \psi^*(x,0) \tag{4}$$

for some time t = 0.

However, the problem of the 'arrow of collapse' is that some phenomena, specifically in situations of measurement, appear not to be described at all by the Schrödinger equation, but by the so-called collapse or projection postulate, which in the simplest case states the following. At measurement times, a state  $|\psi\rangle$  appears to be transformed to one of the states  $P_{\alpha}|\psi\rangle$  (up to normalisation), with probability  $\text{Tr}(|\psi\rangle\langle\psi|P_{\alpha})$ , where the  $P_{\alpha}$  are the eigenprojections of the measured observable. Therefore the (apparent) evolution of a quantum state  $|\psi(t_0)\rangle$  is given alternatively by periods of Schrödinger evolution  $U_{t_{i+1}t_i}$  and 'collapses'  $P_{\alpha_i}$ :

$$|\psi(t_0)\rangle \longrightarrow U_{t_{n+1}t_n}P_{\alpha_n}U_{t_nt_{n-1}}\dots P_{\alpha_1}U_{t_1t_0}|\psi(t_0)\rangle$$
 (5)

(up to normalisation), with overall probability

$$\operatorname{Tr}\left(P_{\alpha_n}U_{t_nt_{n-1}}\dots P_{\alpha_1}U_{t_1t_0}|\psi(t_0)\rangle\langle\psi(t_0)|U_{t_1t_0}^*P_{\alpha_1}\dots U_{t_nt_{n-1}}^*P_{\alpha_n}\right).$$
 (6)

If we attempt a time reversal, taking the final state and applying to it the same procedure in reverse,

$$|\psi(t_{n+1})\rangle \longrightarrow U_{t_1t_0}^* P_{\alpha_1} U_{t_2t_1}^* \dots P_{\alpha_n} U_{t_{n+1}t_n}^* |\psi(t_{n+1})\rangle$$
 (7)

(again up to normalisation), we see that in general this state is different from  $|\psi(t_0)\rangle$  (nor is the sequence of intermediate states reversed). The corresponding probability,

$$\operatorname{Tr}\left(P_{\alpha_{1}}U_{t_{2}t_{1}}^{*}\dots P_{\alpha_{n}}U_{t_{n+1}t_{n}}^{*}|\psi(t_{n+1})\rangle\langle\psi(t_{n+1})|U_{t_{n+1}t_{n}}P_{\alpha_{n}}\dots U_{t_{2}t_{1}}P_{\alpha_{1}}\right), (8)$$

in general is also different from (6).

As a simple example take a spin-1/2 particle in an arbitrary initial state  $|\psi(t_0)\rangle = \alpha|+_x\rangle + \beta|-_x\rangle$ , and let it be subject to a measurement of spin in x-direction at time  $t_1$ , and to a measurement of spin in z-direction at time  $t_2$ , with no further evolution afterwards.

The sequence of states from  $t_0$  to  $t_3$  is then for instance given (up to nor-

malisation) by:

$$(\alpha|+_{x}\rangle + \beta|-_{x}\rangle) \otimes |M_{0}^{1}\rangle \otimes |M_{0}^{2}\rangle$$

$$\xrightarrow{U_{t_{1}t_{0}}} \qquad \alpha|+_{x}\rangle \otimes |M_{+}^{1}\rangle \otimes |M_{0}^{2}\rangle + \beta|-_{x}\rangle \otimes |M_{-}^{1}\rangle \otimes |M_{0}^{2}\rangle$$

$$\xrightarrow{P_{+}^{x}} \qquad \alpha|+_{x}\rangle \otimes |M_{+}^{1}\rangle \otimes |M_{0}^{2}\rangle$$

$$\xrightarrow{U_{t_{2}t_{1}}} \qquad \frac{\alpha}{\sqrt{2}}|+_{z}\rangle \otimes |M_{+}^{1}\rangle \otimes |M_{+}^{2}\rangle + \frac{\alpha}{\sqrt{2}}|-_{z}\rangle \otimes |M_{+}^{1}\rangle \otimes |M_{-}^{2}\rangle \qquad (9)$$

$$\xrightarrow{P_{+}^{z}} \qquad \qquad \frac{\alpha}{\sqrt{2}}|+_{z}\rangle \otimes |M_{+}^{1}\rangle \otimes |M_{+}^{2}\rangle \qquad (9)$$

$$\xrightarrow{U_{t_{3}t_{2}}} \qquad \qquad \frac{\alpha}{\sqrt{2}}|+_{z}\rangle \otimes |M_{+}^{1}\rangle \otimes |M_{+}^{2}\rangle ,$$

and the corresponding probability for the event 'spin-x up, followed by spin-z up' is  $\frac{|\alpha|^2}{2}.$ 

The reverse procedure, however, yields the following (always up to normalisation):

$$|+_{z}\rangle \otimes |M_{+}^{1}\rangle \otimes |M_{+}^{2}\rangle \qquad |+_{z}\rangle \otimes |M_{+}^{1}\rangle \otimes |M_{+}^{2}\rangle$$

$$\xrightarrow{P_{+}^{z*}=P_{+}^{z}} \qquad |+_{z}\rangle \otimes |M_{+}^{1}\rangle \otimes |M_{+}^{2}\rangle$$

$$\xrightarrow{U_{t_{2}t_{1}}^{*}} \qquad |+_{z}\rangle \otimes |M_{+}^{1}\rangle \otimes |M_{0}^{2}\rangle$$

$$\xrightarrow{P_{+}^{x*}=P_{+}^{x}} \qquad \frac{1}{\sqrt{2}}|+_{x}\rangle \otimes |M_{+}^{1}\rangle \otimes |M_{0}^{2}\rangle$$

$$\xrightarrow{U_{t_{1}t_{0}}^{*}} \qquad \frac{1}{\sqrt{2}}|+_{x}\rangle \otimes |M_{+}^{1}\rangle \otimes |M_{0}^{2}\rangle ,$$

$$(10)$$

with a probability for 'spin-z up, preceded by spin-x up' of  $\frac{1}{2}$ .

Therefore, the apparent time evolution of the state, i.e. the evolution including collapse, is clearly not time-symmetric, as opposed to that given by the Schrödinger evolution.

# 2.2 Time symmetry in collapse approaches and no-collapse approaches to quantum mechanics

The main alternative in the foundational approaches to quantum mechanics is the alternative between collapse approaches, which take (5), or rather,

some more precise variant thereof, as fundamental, and no-collapse approaches, which take the Schrödinger equation as fundamental, and attempt to explain (5) as some effective description.

If one wishes to adopt the first alternative and at the same time uphold time symmetry at the level of the fundamental equations, then one is committed to a symmetrisation of the collapse postulate. To this end, one can use the well-known symmetrisation of the probability formula (6) introduced by Aharonov, Bergmann and Lebowitz (1964), where instead of the probability formula (6) one uses the so-called ABL formula:

$$\frac{\operatorname{Tr}(|\psi(t_{n+1})\rangle\langle\psi(t_{n+1})|U_{t_{n+1}t_{n}}P_{\alpha_{n}}U_{t_{n}t_{n-1}}\dots P_{\alpha_{1}}U_{t_{1}t_{0}}}{|\psi(t_{0})\rangle\langle\psi(t_{0})|U_{t_{1}t_{0}}^{*}P_{\alpha_{1}}\dots U_{t_{n}t_{n-1}}^{*}P_{\alpha_{n}}U_{t_{n+1}t_{n}}^{*})}}{\sum_{\alpha_{1},\dots,\alpha_{n}}\operatorname{Tr}(|\psi(t_{n+1})\rangle\langle\psi(t_{n+1})|U_{t_{n+1}t_{n}}P_{\alpha_{n}}U_{t_{n}t_{n-1}}\dots P_{\alpha_{1}}U_{t_{1}t_{0}}}} |\psi(t_{0})\rangle\langle\psi(t_{0})|U_{t_{1}t_{0}}^{*}P_{\alpha_{1}}\dots U_{t_{n}t_{n-1}}^{*}P_{\alpha_{n}}U_{t_{n+1}t_{n}}^{*})} | (11)$$

Note that if one takes as  $|\psi(t_{n+1})\rangle$  one of the possible 'collapsed' states after a sequence of measurements, this formula can be understood simply as describing post-selection in an ensemble of systems. This use of the formula is compatible with any approach to quantum mechanics, even with a no-collapse Everett approach (as in Vaidman's work, see e.g. Vaidman 2007), or indeed with the usual time-asymmetric, forward-in-time collapse postulate.

On the other hand, one can use this formula to define an explicitly time-symmetric collapse approach, by taking  $|\psi(t_0)\rangle$  and  $\langle \psi(t_{n+1})|$  to be independent of each other. These two quantum states can both be understood as evolving according to the unitary evolution interrupted by occasional collapses, one towards the future and one towards the past. At each instant there are therefore two quantum states, and both contribute, via (11), to the probability for the collapses that punctuate their evolutions. A two-vector formalism based on the ABL formula (11) with arbitary  $|\psi(t_0)\rangle$  and  $\langle \psi(t_{n+1})|$  has been explicitly proposed by Aharonov and Vaidman (2002). A symmetrised collapse is presumably the natural picture behind this formalism.

In this paper we focus on the second alternative. In a no-collapse approach to the arrow of collapse, one will take the time-symmetric Schrödinger equation, as described above, to be the fundamental equation of the theory, and seek to explain time asymmetry purely as a feature of effective collapse. The appearance of collapse will be explained differently in the different approaches (by adding Bohm corpuscles for instance, or by interpreting the quantum state as describing many worlds or minds), and the topic of this paper are those no-collapse approaches that see the appearance of collapse as somehow related to loss of interference as described by the theory of decoherence. We thus turn to a brief description of the latter.

## 3 Decoherence and decoherent histories

Loosely speaking, decoherence is the suppression of interference between components of the state of a system (or of some degrees of freedom of a system) through suitable interaction with the environment (or with some other degrees of freedom of the system). A paradigm example is a two-slit experiment with sufficiently strong light shining on the electron between the slits and the screen: if photons are scattered off the electrons (thus, in a sense, detecting the passage of the electrons through either slit), the interference pattern at the screen is suppressed. If this is the case, we can also talk about which 'trajectory' an individual electron has followed and we can consistently assign probabilities to alternative trajectories, so that probabilities for detection at the screen can be calculated by summing over intermediate events. None of this strictly formal talk of probabilities of course implies that the electron has actually gone through one or other of the slits (or, when decoherence is applied to measurement situations, that the measurement yields a definite result).

The decoherent histories formalism (Griffiths 1984, Omnès 1988, Gell-Mann and Hartle 1990), provides an abstract approach to decoherence by indeed defining it in terms of when we can obtain consistent formal expressions for the probabilities of alternative histories, defined in turn as time-ordered sequences of projection operators (usually Heisenberg-picture operators), strictly within the formal apparatus of no-collapse quantum mechanics. While the various attempts at basing interpretations of quantum mechanics around this formalism are the subject of controversy (see Dowker and Kent 1996), we take it that the *formalism* of decoherent histories can be used uncontroversially as an abstract description of certain features of decoherence. These features include the possibility of defining over time the identity of components of the state and of formally defining collapse-type probabilities.

This is the aspect that is of particular interest here.

The formalism can be described in a nutshell as follows. Take orthogonal families of projections with

$$\sum_{\alpha_1} P_{\alpha_1}(t) = \mathbf{1}, \dots, \sum_{\alpha_n} P_{\alpha_n}(t) = \mathbf{1}$$
(12)

(in Heisenberg picture). Choose times  $t_1, \ldots, t_n$  and define *histories* as time-ordered sequences of projections

$$P_{\alpha_1}(t_1), \dots, P_{\alpha_n}(t_n) \tag{13}$$

at the given times, choosing one projection from each family, respectively. The histories are then said to form an alternative and exhaustive set.

Given a state  $\rho$ , we wish to define probabilities for the resulting set (including further coarse-grainings of the histories). The usual probability formula based on the collapse postulate would yield

$$\operatorname{Tr}\left(P_{\alpha_n}(t_n)\dots P_{\alpha_1}(t_1)\rho P_{\alpha_1}(t_1)\dots P_{\alpha_n}(t_n)\right) \tag{14}$$

as 'candidate probabilities', but in general if one coarse-grains further, in the sense of summing over intermediate events, one does not obtain probabilities of the same form (14). This is because in general there are non-zero interference terms between different histories. Now, the *consistency* or (weak) decoherence condition is precisely that interference terms should vanish for any pair of distinct histories:

$$\operatorname{ReTr}\left(P_{\alpha_n}(t_n)\dots P_{\alpha_1}(t_1)\rho P_{\alpha_1'}(t_1)\dots P_{\alpha_n'}(t_n)\right) = 0 \tag{15}$$

for  $\{\alpha_i\} \neq \{\alpha_i'\}$  (we gloss over differences in the definitions adopted by various authors). In this case (14) defines probabilities for all histories of the set (including coarse-grainings) or, equivalently, it defines the distribution functions for a stochastic process with the histories as trajectories.

Formulas (14) and (15) can easily be translated into the Schrödinger picture, in which they read

$$\operatorname{Tr}\left(P_{\alpha_n}U_{t_nt_{n-1}}\dots P_{\alpha_1}U_{t_1t_0}\rho(t_0)U_{t_1t_0}^*P_{\alpha_1}\dots U_{t_nt_{n-1}}^*P_{\alpha_n}\right),\qquad(16)$$

and

$$\operatorname{ReTr}\left(P_{\alpha_n}U_{t_nt_{n-1}}\dots P_{\alpha_1}U_{t_1t_0}\rho(t_0)U_{t_1t_0}^*P_{\alpha_1'}\dots U_{t_nt_{n-1}}^*P_{\alpha_n'}\right) = 0 , \quad (17)$$

respectively.

One should also note that a stronger form of the decoherence condition, namely the vanishing of both the real and imaginary part of the trace expression in (15), can be used to prove theorems on the existence of (later) 'permanent records' of (earlier) events in a history. Indeed, if the state  $\rho$  is a pure state  $|\psi\rangle\langle\psi|$  this strong decoherence condition (sometimes also called 'medium decoherence') is equivalent, for all n, to the orthogonality of the vectors

$$P_{\alpha_n}(t_n)\dots P_{\alpha_1}(t_1)|\psi\rangle$$
, (18)

and this in turn is equivalent to the existence of a set of orthogonal projections  $R_{\alpha}(t_f)$  for any  $t_f \geq t_n$  that extend consistently the given set of histories and are perfectly correlated with the histories of the original set. The existence of such 'generalised' records (which need not be stored in separate degrees of freedom, such as an environment or measuring apparatus) is thus equivalent in the case of pure states to strong decoherence (Gell-Mann and Hartle 1990). Similar results involving imperfectly correlated records can be derived in the case of mixed states (Halliwell 1999). The notion of permanent records is rather close to the notion of a 'fixed past', but the weak decoherence condition will mostly suffice for our purpose of discussing whether probabilities in decoherent histories have some genuine time-directed aspect.

# 4 Time (a)symmetry and decoherent histories

#### 4.1 Standard analysis

The time asymmetry of the probabilities defined via decoherence consists in the fact that if we exchange the time ordering of the histories and insert into (15), the resulting histories generally fail to decohere, so one cannot take the corresponding expression,

$$\operatorname{Tr}\left(P_{\alpha_1}(t_1)\dots P_{\alpha_n}(t_n)\rho P_{\alpha_n}(t_n)\dots P_{\alpha_1}(t_1)\right),$$
 (19)

to define distribution functions. As we shall see below (see (36) and (37)), the candidate probabilities (19) are also generally different from those defined by (14).

This means that if one wishes to make *retrodictions* rather than predictions, i.e. if one is currently at time  $t_{n+1}$  and wishes to calculate the probabilities for the given histories, then one should stick to formula (14), and not use (19). In Schrödinger picture, this means calculating back the state at  $t_0$  and using the predictive formula for that time. Indeed, unlike the case of (14), where in the Schrödinger picture the state enters the probability formula as an 'initial' state (16), in the case of (19) the state enters as a 'final' state,

$$\operatorname{Tr}\left(P_{\alpha_1}U_{t_2t_1}^*\dots P_{\alpha_n}U_{t_{n+1}t_n}^*\rho(t_{n+1})U_{t_{n+1}t_n}P_{\alpha_n}\dots U_{t_2t_1}P_{\alpha_1}\right). \tag{20}$$

Phenomenologically the quantum state collapses, and if we wish to make retrodictions, we do not use the uncollapsed state at time  $t_{n+1}$  but the collapsed one (and we often post-select, i.e. conditionalise on  $P_{\alpha_n}$ ).

Such time asymmetry in the probabilities is what we actually observe and aim to describe, but in the standard literature on decoherent histories (e.g. Gell-Mann and Hartle 1994, Kiefer 1996, Hartle 1998) one objects to the fact that the asymmetry appears to be inherent in the form of (15), i.e. decoherent histories simply appear to incorporate the asymmetry of the collapse postulate into the fundamental concepts of the approach. We shall now see how this problem is usually approached, then develop our own alternative approach.

What is generally done is to *modify* the decoherence condition in order to obtain a time-neutral criterion. The new condition is that

$$\operatorname{ReTr}\left(\rho_f P_{\alpha_n}(t_n) \dots P_{\alpha_1}(t_1) \rho_i P_{\alpha_1'}(t_1) \dots P_{\alpha_n'}(t_n)\right) = 0 \tag{21}$$

for  $\{\alpha_i\} \neq \{\alpha_i'\}$ , i.e. that a new functional should vanish for any two different histories. (A condition of this form, and not one of the form (15), is actually the one used by Griffiths 1984, who mentions its explicit time symmetry.) Note that in this formula there appear two quantum states  $\rho_i$  and  $\rho_f$ , one in 'initial' position and one in 'final' position. Correspondingly, the probability for a history from a set that is decoherent according to the new definition, should be proportional to

$$\operatorname{Tr}\left(\rho_f P_{\alpha_n}(t_n) \dots P_{\alpha_1}(t_1) \rho_i P_{\alpha_1}(t_1) \dots P_{\alpha_n}(t_n)\right). \tag{22}$$

The normalisation factor can be shown to be equal to  $\text{Tr}(\rho_f \rho_i)$  (that is,

provided this is non-zero). Indeed, one has

$$\operatorname{Tr}\left(\rho_{f}P_{\alpha_{n}}(t_{n})\dots P_{\alpha_{1}}(t_{1})\rho_{i}P_{\alpha_{1}}(t_{1})\dots P_{\alpha_{n}}(t_{n})\right)$$

$$=\operatorname{Re}\operatorname{Tr}\left(\rho_{f}P_{\alpha_{n}}(t_{n})\dots P_{\alpha_{1}}(t_{1})\rho_{i}P_{\alpha_{1}}(t_{1})\dots P_{\alpha_{n}}(t_{n})\right)$$

$$=\operatorname{Re}\operatorname{Tr}\left(\rho_{f}P_{\alpha_{n}}(t_{n})\dots P_{\alpha_{1}}(t_{1})\rho_{i}\left(\sum_{\alpha'_{1}}P_{\alpha'_{1}}(t_{1})\right)\dots\left(\sum_{\alpha'_{n}}P_{\alpha'_{n}}(t_{n})\right)\right),$$

$$(23)$$

because of (21), so that

$$\sum_{\alpha_1,\dots,\alpha_n} \operatorname{Tr}\left(\rho_f P_{\alpha_n}(t_n) \dots P_{\alpha_1}(t_1) \rho_i P_{\alpha_1}(t_1) \dots P_{\alpha_n}(t_n)\right) \\
= \operatorname{Re}\operatorname{Tr}\left(\rho_f \left(\sum_{\alpha_n} P_{\alpha_n}(t_n)\right) \dots \left(\sum_{\alpha_1} P_{\alpha_1}(t_1)\right) \rho_i\right) \\
= \operatorname{Re}\operatorname{Tr}\left(\rho_f \rho_i\right), \tag{24}$$

and  $Tr(\rho_f \rho_i)$  is real.

By the cyclicity of the trace, it is manifest that (21) and the resulting probabilities are indeed time-symmetric, e.g.

$$\frac{\operatorname{Tr}\left(\rho_{f}P_{\alpha_{n}}(t_{n})\dots P_{\alpha_{1}}(t_{1})\rho_{i}P_{\alpha_{1}}(t_{1})\dots P_{\alpha_{n}}(t_{n})\right)}{\operatorname{Tr}(\rho_{f}\rho_{i})} = \frac{\operatorname{Tr}\left(\rho_{i}P_{\alpha_{1}}(t_{1})\dots P_{\alpha_{n}}(t_{n})\rho_{f}P_{\alpha_{n}}(t_{n})\dots P_{\alpha_{1}}(t_{1})\right)}{\operatorname{Tr}(\rho_{i}\rho_{f})} . \quad (25)$$

Thus, according to the standard line, it is necessary to introduce the pair of states  $\rho_i$  and  $\rho_f$  in order to make decoherence not intrinsically time-directed. The observed time-asymmetric phenomena are to be explained accordingly in terms of a contingent asymmetry between the 'initial' and 'final' boundary conditions, with  $\rho_i$  a certain kind of pure state and  $\rho_f$  close to the identity operator. The problem is thus reduced to a form familiar from other branches of physics, namely one tries to reduce time-directed phenomena to the existence of special boundary conditions (which may or may not be in need of further explanation, according to one's take on the problem).

Formula (25) is obviously reminiscent of the ABL formula (11) of standard quantum mechanics (with the simplified normalisation factor deriving from

the decoherence condition (21)), and appears to have been inspired by it. Since the decoherent histories formalism is generally considered a no-collapse formalism, the two states  $\rho_i$  and  $\rho_f$  (when translated into Schrödinger picture) are presumably a pair of non-collapsing wave functions of the universe that take the place of the single wave function of the other no-collapse approaches.

While this proposal appears to solve the problem of the time-asymmetry of the decoherence condition, it should not go unquestioned.

In the first place, it is a major modification of quantum mechanics that at present is not required by empirical considerations (the condition  $\rho_f \approx 1$  means that the probabilities are indistinguishable in practice from the 'time-directed' ones). True, there may be reasons for adopting such a modification of standard quantum mechanics. For instance, Gell-Mann and Hartle (1994) use their two-state formulation in order to study the possibility of 'time-symmetric cosmologies' (we shall return to this point in section 4.4). Further, Hartle (1998) suggests that the formula may be useful for encoding some violations of unitarity, or that it may become necessary if empirical data start violating the usual quantum mechanical probability formula (i.e. if  $\rho_f$  is not close to the identity). If, however, one's primary concern is with the time asymmetry of the decoherence condition, one should ask oneself whether such a radical solution is necessary.

Indeed, this proposal seems to throw out the baby with the bath water, in the following sense. In the context of the arrow of time, the motivation for adopting a no-collapse approach is the hope that, by insisting on the time-symmetric Schrödinger equation as fundamental, one might be able to explain the phenomenological time-asymmetry of collapse as an effective description. By requiring two states, however, one renounces this strategy without even putting up a fight. This is strange, because the interest of the decoherent histories approach (from the point of view of the arrow of time) would seem to be precisely that the time-asymmetric probabilities (6) appear in the formalism without invoking the time-asymmetric evolution (5) of the state. Indeed, we can consider the evolution of the state as given always by the Schrödinger equation, with the probability formula (16) encoding how the state at different times gives the probabilities for suitable histories defined by the corresponding Schrödinger projections at the given times. Intuitively, one would thus hope that this formalism describes the emergence of time-directed probabilities at the level of histories from the fundamental

time-symmetric level of the Schrödinger equation.

### 4.2 New proposal

We now claim against standard wisdom that it is *not* necessary to go to a more general theory and introduce two quantum states  $\rho_i$  and  $\rho_f$  in order to restore the time symmetry of the decoherent histories framework. We shall argue that the usual theory is already symmetric enough and that any phenomenological asymmetry can be encoded in a single state  $\rho$ .

The apparent difficulty with this is that while it seems that, by adopting the decoherent histories formalism, we have embedded the asymmetric collapse probabilities (to be suitably interpreted) into the no-collapse formalism of quantum mechanics, as the 'no-collapse strategy' set out to do, this has been achieved by imposing a condition on the histories that is itself time-asymmetric. So it appears that we may have put in the asymmetry by hand at the stage of defining our criterion for decoherence, and that the resulting asymmetry is an artefact of the formalism. Indeed, the decoherence condition appears to select arbitrarily a direction of time, since the expression for the interference terms in (15), i.e.

$$\operatorname{ReTr}\left(P_{\alpha_n}(t_n)\dots P_{\alpha_1}(t_1)\rho P_{\alpha_1'}(t_1)\dots P_{\alpha_n'}(t_n)\right),\qquad(26)$$

is time-directed: these are the interference terms for the evolution of the wave function towards the future. The problem can be phrased as the question: why not require that the interference terms towards the past, i.e. if we insert  $\rho$  into the formula as a 'final state',

$$\operatorname{ReTr}\left(P_{\alpha_1}(t_1)\dots P_{\alpha_n}(t_n)\rho P_{\alpha'_n}(t_n)\dots P_{\alpha'_1}(t_1)\right),\qquad(27)$$

vanish for different histories? The arbitrariness lies in our requiring one condition rather than the other.

If this is the problem, however, the most natural move seems to be *not* to choose arbitrarily the 'forwards' decoherence condition, i.e. (15), but entertain the possibility that (27) might also vanish — a 'backwards decoherence' condition,

$$\operatorname{ReTr}\left(P_{\alpha_1}(t_1)\dots P_{\alpha_n}(t_n)\rho P_{\alpha_n'}(t_n)\dots P_{\alpha_1'}(t_1)\right) = 0$$
 (28)

for any two different histories — either concurrently with forwards decoherence or as an alternative to it. Note that the satisfaction of *either* condition, given a state  $\rho$  and a set of histories is an objective feature of the given state and set of histories. And either condition allows us to define probabilities for sets of histories.

If only one condition is satisfied, either forwards decoherence (15) or backwards decoherence (28), the choice is substantial, but it is not arbitrary: again, it is the state and the set of histories themselves that select one direction of time over another. (Note also that the terminology of 'forwards' and 'backwards' decoherence is purely conventional, and that the two terms could be interchanged.)

Before seeing a concrete example, note that in the case in which both decoherence conditions are satisfied, one can now show that the probabilities (14) and (19), defined by the two conditions, coincide. Indeed, we have

$$\operatorname{Tr}\left(P_{\alpha_n}(t_n)\dots P_{\alpha_1}(t_1)\rho P_{\alpha_1}(t_1)\dots P_{\alpha_n}(t_n)\right) = \operatorname{Re}\operatorname{Tr}\left(P_{\alpha_n}(t_n)\dots P_{\alpha_1}(t_1)\rho\right), \quad (29)$$

since the left-hand side is equal to its real part and since

$$\operatorname{ReTr}\left(P_{\alpha_{n}}(t_{n})\dots P_{\alpha_{1}}(t_{1})\rho P_{\alpha_{1}}(t_{1})\dots P_{\alpha_{n}}(t_{n})\right) = \operatorname{ReTr}\left(P_{\alpha_{n}}(t_{n})\dots P_{\alpha_{1}}(t_{1})\rho\left(\sum_{\alpha'_{1}}P_{\alpha'_{1}}(t_{1})\dots\left(\sum_{\alpha'_{n}}P_{\alpha'_{n}}(t_{n})\right)\right), \quad (30)$$

by the (forwards) decoherence condition. Similarly with the time order of projections reversed:

$$\operatorname{Tr}\left(P_{\alpha_{1}}(t_{1})\dots P_{\alpha_{n}}(t_{n})\rho P_{\alpha_{n}}(t_{n})\dots P_{\alpha_{1}}(t_{1})\right) = \operatorname{ReTr}\left(\rho P_{\alpha_{n}}(t_{n})\dots P_{\alpha_{1}}(t_{1})\right). \quad (31)$$

But the two right-hand sides of (29) and (31) are equal by the cyclicity of the trace. Therefore, the two probabilities coincide:

$$\operatorname{Tr}\left(P_{\alpha_n}(t_n)\dots P_{\alpha_1}(t_1)\rho P_{\alpha_1}(t_1)\dots P_{\alpha_n}(t_n)\right) = \operatorname{Tr}\left(P_{\alpha_1}(t_1)\dots P_{\alpha_n}(t_n)\rho P_{\alpha_n}(t_n)\dots P_{\alpha_1}(t_1)\right). \quad (32)$$

Now, as an example, let us take again the spin-1/2 particle of section 2.1. The particle has the initial state  $|\psi(t_0)\rangle = \alpha|+_x\rangle + \beta|-_x\rangle$  and is subjected consecutively to a spin-x measurement at  $t_1$  and a spin-z measurement at  $t_2$ . The final state of the particle and the two apparatuses at some later time  $t_3$  is

$$|\psi(t_3)\rangle = \frac{\alpha}{\sqrt{2}}|+_z\rangle \otimes |M_+^1\rangle \otimes |M_+^2\rangle + \frac{\alpha}{\sqrt{2}}|-_z\rangle \otimes |M_+^1\rangle \otimes |M_-^2\rangle + \frac{\beta}{\sqrt{2}}|+_z\rangle \otimes |M_-^1\rangle \otimes |M_-^2\rangle + \frac{\beta}{\sqrt{2}}|-_z\rangle \otimes |M_-^1\rangle \otimes |M_-^2\rangle . \quad (33)$$

Since the four components of the final state are orthogonal, by virtue of the measurement records, the histories formed by the projections  $P_{\pm}^{x}(t_{1}), P_{\pm}^{z}(t_{2})$ , or, more precisely, by the projections

$$P_+^x(t_1) \otimes \mathbf{1} \otimes \mathbf{1}, \qquad P_+^z(t_2) \otimes \mathbf{1} \otimes \mathbf{1}, \qquad (34)$$

form a (forwards) decoherent set. One expects this set of histories to fail to decohere backwards, because the initial state lacks records of the later measurements. To show this, given the definition of (32) above, we only need to check that the forwards and backwards candidate probabilities (14) and (19) are different. And, indeed, this is generally the case. The relevant probabilities can be calculated from the reduced states of the particle, that is (in Schrödinger picture)

$$|\psi(t_0)\rangle = \alpha|+_x\rangle + \beta|-_x\rangle ,$$

$$\rho(t_3) = \frac{|\alpha|^2 + |\beta|^2}{2}|+_z\rangle\langle +_z| + \frac{|\alpha|^2 + |\beta|^2}{2}|-_z\rangle\langle -_z| = \frac{1}{2}\mathbf{1} .$$
(35)

The forwards probabilities (14) are therefore given by

$$p(P_{+}^{x}(t_{1}), P_{\pm}^{z}(t_{2})) = |\langle \psi(t_{0})| +_{x} \rangle|^{2} |\langle +_{x}| \pm_{z} \rangle|^{2} = \frac{|\alpha|^{2}}{2} ,$$

$$p(P_{-}^{x}(t_{1}), P_{\pm}^{z}(t_{2})) = |\langle \psi(t_{0})| -_{x} \rangle|^{2} |\langle -_{x}| \pm_{z} \rangle|^{2} = \frac{|\beta|^{2}}{2} ,$$
(36)

while the backwards probabilities are given by

$$p(P_{\pm}^{z}(t_{2}), P_{\pm}^{x}(t_{1})) = \text{Tr}\left(\frac{1}{2}\mathbf{1}|\pm_{z}\rangle\langle\pm_{z}|\right)|\langle\pm_{z}|\pm_{x}\rangle|^{2} = \frac{1}{4}.$$
 (37)

Thus, unless  $|\alpha|^2 = |\beta|^2 = \frac{1}{2}$ , the forwards and backwards probabilities do not coincide, and therefore the histories fail to decohere backwards in time.

If the final state had the form  $|\psi(t_3)\rangle = \alpha |+_x\rangle + \beta |-_x\rangle$ , the reverse would be true, and for  $|\alpha|^2 \neq |\beta|^2$  the same set of histories would decohere backwards but not forwards in time. In either case, satisfaction of only one of the decoherence conditions gives rise to probabilities that are time-asymmetric both in their formal expression and in their actual values.

Clearly, to explain this 'arrow of decoherence' in general, one should analyse the (symmetric) role played by the dynamics (what kind of Hamiltonians are necessary in order to obtain decoherence?) and the (symmetry-breaking) role played by special, presumably (as here) 'low-entanglement' initial or final conditions (note that initial and final quantum states cannot be specified independently since unitary evolution is assumed throughout). Further questions are whether and how these conditions relate to the existence of observers and agents like us. But the discussion in this paper is limited to the formal aspects of the time symmetry and asymmetry of probabilities. Insofar as the standard proposal in the decoherent histories literature (which we have reviewed in the previous subsection) allows one to reduce the time asymmetry of decoherence to a more familiar one of explaining special initial or final conditions, so does the present suggestion: depending on an appropriate initial or final condition (corresponding to a single Heisenberg-picture  $\rho$ ), there are certain processes, namely the suppression of interference terms between certain histories, that typically occur in one direction of time (15) or the opposite one (28), although the fundamental equation allows for both types of solutions. Unlike the standard approach, our suggestion applies to the framework of the usual Schrödinger equation, thus along the established lines of the no-collapse approach to the arrow of collapse in quantum mechanics (cf. section 2.2).

#### 4.3 Time-symmetric case

We shall now have a brief look at the symmetrical situation in which both the forwards and the backwards decoherence condition are satisfied. In this case, the arbitrariness of choosing one condition over another does not matter.

We shall show in particular: (i) that the time-symmetric case is non-trivial, in the sense that probabilities for histories that decohere in both directions of time need not be all 0 or 1 (even in the case of a pure quantum state), and (ii) that satisfaction of both forwards and backwards decoherence is

not equivalent to the special case of the standard time-neutral decoherence condition (21) with  $\rho_i = \rho_f = \rho$ , i.e. it is not equivalent to

$$\operatorname{ReTr}\left(\rho P_{\alpha_n}(t_n) \dots P_{\alpha_1}(t_1) \rho P_{\alpha_1'}(t_1) \dots P_{\alpha_n'}(t_n)\right) = 0$$
(38)

(for different histories). In this connection, it should be noted that Gell-Mann and Hartle (1994) show (38) to be an overly restrictive condition, as well as showing that the assumption that both  $\rho_i$  and  $\rho_f$  are pure is also very restrictive.

To show both the above claims, take the special case of (38) with  $\rho = |\psi\rangle\langle\psi|$  pure. In this case, the corresponding probabilities (25) reduce to

$$\operatorname{Tr}\left(|\psi\rangle\langle\psi|P_{\alpha_n}(t_n)\dots P_{\alpha_1}(t_1)|\psi\rangle\langle\psi|P_{\alpha_1}(t_1)\dots P_{\alpha_n}(t_n)\right) = |\langle\psi|P_{\alpha_n}(t_n)\dots P_{\alpha_1}(t_1)|\psi\rangle|^2. \quad (39)$$

By the same argument used to derive (23) or (30), we see that the same probabilities are also equal to

$$\operatorname{Tr}\left(|\psi\rangle\langle\psi|P_{\alpha_{n}}(t_{n})\dots P_{\alpha_{1}}(t_{1})|\psi\rangle\langle\psi|P_{\alpha_{1}}(t_{1})\dots P_{\alpha_{n}}(t_{n})\right)$$

$$=\operatorname{Tr}\left(|\psi\rangle\langle\psi|P_{\alpha_{n}}(t_{n})\dots P_{\alpha_{1}}(t_{1})|\psi\rangle\langle\psi|\right)$$

$$=\langle\psi|P_{\alpha_{n}}(t_{n})\dots P_{\alpha_{1}}(t_{1})|\psi\rangle.$$

$$(40)$$

But then, all probabilities are 0 or 1.

In this case, since the  $U_{t_{n+1}t_n}P_{\alpha_n}U_{t_nt_{n-1}}\dots P_{\alpha_1}U_{t_1t_0}|\psi(t_0)\rangle$  are orthogonal components of  $|\psi(t_0)\rangle$  (in Schrödinger picture), each of the former must be the zero vector in order to be orthogonal to the latter. It follows that the forwards and backwards decoherence conditions are trivially satisfied. Instead, we shall now show that the forwards and backwards decoherence conditions can be satisfied for a pure state also when the probabilities are not all 0 or 1.

Take again the special case above of the spin-1/2 particle. In this case, the additional assumption of backwards decoherence not only implies (32), but is also implied by the equality of the (candidate) probabilities (14) and (19). Indeed, assume that

$$\operatorname{Tr}\left(P_{\pm}^{z}(t_{2})P_{\pm}^{x}(t_{1})|\psi\rangle\langle\psi|P_{\pm}^{x}(t_{1})P_{\pm}^{z}(t_{2})\right) = \operatorname{Tr}\left(P_{\pm}^{x}(t_{1})P_{\pm}^{z}(t_{2})|\psi\rangle\langle\psi|P_{\pm}^{z}(t_{2})P_{\pm}^{x}(t_{1})\right) \quad (41)$$

(in Heisenberg picture). Then, since (again due to forwards decoherence) the first line is equal to

$$\operatorname{Tr}\left(P_{+}^{z}(t_{2})P_{\pm}^{x}(t_{1})|\psi\rangle\langle\psi|\right) = \operatorname{Tr}\left(\left(P_{+}^{z}(t_{2}) + P_{-}^{z}(t_{2})\right)|\psi\rangle\langle\psi|P_{+}^{z}(t_{2})P_{\pm}^{x}(t_{1})\right),\tag{42}$$

equation (41) reduces to

$$\operatorname{Tr}\left((P_{+}^{z}(t_{2}) + P_{-}^{z}(t_{2}))|\psi\rangle\langle\psi|P_{+}^{z}(t_{2})P_{\pm}^{x}(t_{1})\right) = \operatorname{Tr}\left(P_{+}^{z}(t_{2})|\psi\rangle\langle\psi|P_{+}^{z}(t_{2})P_{\pm}^{x}(t_{1})\right). \quad (43)$$

Therefore

$$Tr\Big(P_{\pm}^{x}(t_{1})P_{-}^{z}(t_{2})|\psi\rangle\langle\psi|P_{\pm}^{z}(t_{2})P_{\pm}^{x}(t_{1})\Big) = 0$$
(44)

and a fortiori

$$\operatorname{ReTr}\left(P_{\pm}^{x}(t_{1})P_{-}^{z}(t_{2})|\psi\rangle\langle\psi|P_{+}^{z}(t_{2})P_{\pm}^{x}(t_{1})\right) = 0, \qquad (45)$$

which is backwards decoherence.

Since in the case  $|\alpha|^2 = |\beta|^2 = \frac{1}{2}$  the probabilities (36) and (37) coincide, we have an example in which both forwards and backwards decoherence are satisfied for a pure state, showing both that probabilities can be non-trivial and that (38) is not equivalent to the conjunction of forwards and backwards decoherence.

Note that the example just cited hardly qualifies as a physically interesting example of satisfaction of both conditions. Indeed, while forwards (strong) decoherence in the example is connected to the existence of measurement records, backwards (strong) decoherence in the example appears to be an accident, and the corresponding records are indeed merely 'generalised' records. (Note also that whether this qualifies as a case of intuitive 'branching' of the wave function in both directions of time may be open to doubt.)

# 4.4 Time-symmetric cosmologies

To conclude this section, we wish to note that our time-symmetric case (satisfaction of both forwards and backwards decoherence) is also in general not

equivalent to what Gell-Mann and Hartle (1994) take as the defining characteristic of a 'time-symmetric cosmology', namely decoherence and equality of probabilities for both a set of histories

$$P_{\alpha_1}(t_1), \dots, P_{\alpha_n}(t_n) \tag{46}$$

and the time-reversed set of histories

$$P_{\alpha_n}(-t_n), \dots, P_{\alpha_1}(-t_1) \tag{47}$$

(more precisely, Gell-Mann and Hartle consider CPT-reversed sets of histories).

Taking our case of a single  $\rho$  (or  $\rho_i = \rho$  and  $\rho_f = 1$  in Gell-Mann and Hartle's formulas), we have however that if  $\rho$  is time-symmetric, then (quite trivially) forwards decoherence of the time-reversed set of histories (47), is equivalent to backwards decoherence of the original set (46). From our discussion in section 4.2 it then also follows that the probabilities for (46) and (47) coincide, i.e. time symmetry of  $\rho$  and our two decoherence conditions imply a time-symmetric cosmology in Gell-Mann and Hartle's sense.

More generally, Page (1993) has shown that if  $\rho_i$  and  $\rho_f$  in Gell-Mann and Hartle's formulas are separately time-symmetric and commute, then decoherence of both (46) and (47) in the sense of (21) implies that their probabilities coincide and thus that one has a time-symmetric cosmology. The proof is quite similar to our proof of (32), and in fact if  $\rho_i$  and  $\rho_f$  are time-symmetric, then the 'time-neutral' decoherence functional applied to (47),

$$\operatorname{ReTr}\left(\rho_i P_{\alpha_n}(-t_n) \dots P_{\alpha_1}(-t_1) \rho_f P_{\alpha'_1}(-t_1) \dots P_{\alpha'_n}(-t_n)\right), \qquad (48)$$

is equal to

$$\operatorname{ReTr}\left(\rho_{i} P_{\alpha'_{n}}(t_{n}) \dots P_{\alpha'_{1}}(t_{1}) \rho_{f} P_{\alpha_{1}}(t_{1}) \dots P_{\alpha_{n}}(t_{n})\right), \tag{49}$$

which is so to speak a two-state version of the backwards decoherence functional applied to the original histories.

Page (1993) stresses that time-symmetric cosmologies in the sense of Gell-Mann and Hartle can thus be obtained even without requiring a sufficient condition mentioned by these authors, namely that  $\rho_i$  and  $\rho_f$  be the time reversals of each other (which given the rest of their discussion would most likely rule out pure states, such as in a no-boundary cosmology). In particular one can obtain time-symmetric cosmologies even if one takes  $\rho_f = 1$ .

We should like to add that, therefore, the wish to consider time-symmetric cosmologies does also not provide a reason for introducing Gell-Mann and Hartle's two-state condition (21).

Note finally that any example of a time-symmetric cosmology in the sense of Page with  $\rho_i$  pure and time-symmetric and  $\rho_f$  the identity will automatically provide an example of our time-symmetric case of a set of histories satisfying both forwards and backwards decoherence.

# 5 Discussion of probabilities

We now return to our original question of assessing the possibly timedirected metaphysical status of quantum probabilities in the context of (decoherence-based) no-collapse approaches to quantum mechanics.

So far we have conducted a formal discussion of the time symmetry or asymmetry of these probabilities in terms of forwards and backwards decoherence. We have argued in section 4 that the framework of decoherent histories, which we use here to describe no-collapse approaches, provides a way of embedding the quantum probabilities within the usual time-symmetric description given by the Schrödinger equation, in a way that does not beg the question of time directedness. Probabilities emerge from the Schrödinger equation at the level of histories, contingently on the satisfaction of some decoherence condition. Sometimes the emergent probabilities are time-asymmetric, in the sense that the corresponding histories satisfy only one of the two decoherence conditions we have introduced. Sometimes they are time-symmetric, in the sense that the histories satisfy both decoherence conditions, in which case they appear to be no more time-directed than classical probabilities.

As already mentioned in section 1, while formal considerations cannot enforce the choice of a particular kind of interpretation, they may very well provide guidance in the choice of an appropriate interpretation. Our formal results suggest caution in making sweeping metaphysical statements about the nature of quantum probabilities, in particular about fixed past and open future, suggesting instead that any time-directed aspect one may want to ascribe to specifically quantum probabilities when fleshing out their interpretation (in the context of some no-collapse approach to quantum mechanics, or if invoking the theorem about records to justify some kind of fixed past)

should be thought of as merely contingent.

A second type of considerations revolves not around forwards and backwards decoherence but around decoherence and recoherence, which is the *reinter-ference* at later times of components of the quantum state that satisfied the decoherence condition in the past.

For example, choose  $t_1 < ... < t_n < 0$ . While a set of histories may satisfy the forwards decoherence condition,

$$\operatorname{ReTr}\left(P_{\alpha_n}U_{t_nt_{n-1}}\dots P_{\alpha_1}U_{t_1t_0}\rho(t_0)U_{t_1-t_0}^*P_{\alpha_1'}\dots U_{t_nt_{n-1}}^*P_{\alpha_n'}\right) = 0 \qquad (50)$$

(for different histories), this does not prevent reinterference from taking place at times later than  $t_n$ . Indeed, take the extreme case of a time-symmetric  $\rho$  (in the pure case, this corresponds to (3) or (4)). Then the corresponding components of the wave function will progressively reinterfere at the times  $0 < -t_n < \ldots < -t_1$ . If a strongly decoherent set of histories recoheres, then the records at times  $t_i$  of all previous events will not be permanent, but will be subject to successive 'quantum erasure' between  $-t_n$  and  $-t_1$ .

Note that recoherence in the example is equivalent to the backwards decoherence of the time-reversed set of histories  $P_{\alpha_n}(-t_n), \ldots, P_{\alpha_1}(-t_1)$ , which is different from backwards decoherence of the original set of histories. Therefore, issues of forwards and backwards decoherence are indeed separate from issues of decoherence and recoherence.

The possibility of recoherence now raises an important potential objection to the time-directedness of quantum probabilities. This objection is already known from classical discussions of the thermodynamic arrow of time: any apparent emergence of an arrow of time (say through the imposition of an initial low-entropy boundary condition) can be overturned by behaviour in the future (e.g. it could be trumped by a final low-entropy boundary condition). This problem has been discussed in particular by Price (2002), who refers to it picturesquely as 'Boltzmann's time bomb'. Thermodynamic behaviour could turn into anti-thermodynamic behaviour, for instance in a perfectly symmetric universe, as in the quantum case of collapse behaviour turning into 'anti-collapse' behaviour in the above example with a time-symmetric  $\rho$ . Therefore the emergence of probabilities, even if asymmetric in the sense discussed in section 4, is in general a temporally local phenomenon, again suggesting caution in one's choice of interpretation.

There is, however, a feature of the quantum probabilities under recoher-

ence that provides a disanalogy to the classical case. Consider whether anti-thermodynamic behaviour could be actually witnessed by some 'thermodynamic' observers (i.e. observers associated with the thermodynamic arrow of time). This will not be the case in the extreme example of a perfectly symmetric universe, since also the operation of their observations and memories will be reversed (that is, they will be acting as observers of the thermodynamic behaviour in the opposite time direction). However, one can imagine that in the presence of sufficiently weak interactions, some thermodynamic observers could directly witness some of the anti-thermodynamic behaviour.

This now yields a disanalogy between the classical and the quantum case. In the quantum case, even in the more general situation in which the state  $\rho$  is not time-symmetric, no observer could arguably ever witness decoherence events followed by recoherence events at some later time, if by observing an event in a history one means that the observer subsequently possesses a record of this event. Indeed, as long as records of events persist in the memory of the observer, the histories comprising the observed events will decohere, in fact strongly, because of the theorem about records. The assumption of recoherence therefore implies that the observer's memory of an event be quantum-erased. If this is the case, however, that observer arguably cannot be said to witness a recoherence event: once the different components of the quantum state have come together, the observer (if they survive the process) has no memory of them ever having been distinct, thus cannot be said to witness an event of distinct components of the quantum state reinterfering.

While for an external 'God's eye' perspective (such as we arguably have in spin-echo experiments) decoherence and recoherence are equivalent time-reversed descriptions of the same phenomenon , there can be no internal observer who shares the temporal perspective from which this behaviour could be described as recoherence. From an internal perspective such a phenomenon could only be described as decoherence. In this specifically perspectival sense, the arrow of decoherence is immune to special final conditions in its future. This in turn provides scope for an interpretation in which the quantum probabilities can be thought of as time-directed, not as a property of the probabilities themselves, but from the perspective of any observer in the above sense.

The interpretation of quantum probabilities will in general depend on the

particular approach to quantum mechanics one adopts, in particular, even restricting oneself to no-collapse approaches, on whether one adopts a (deterministic) Bohm approach, a (stochastic) beable approach or an (emergentist) Everett approach. Our analysis above suggests that in all of these cases, the interpretation of quantum probabilities should take into account both the dichotomy between forwards and backwards decoherence, suggesting that time directedness should be contingent, and the dichotomy between decoherence and recoherence, suggesting that time directedness is inappropriate from a global perspective, but may be construed as genuine from the perspective of an internal observer.

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